

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re appln. of )  
Laurent COEN et al. ) **ATTN: BOX MISSING PARTS**  
Serial No.: 09/816,467 )  
Filed: March 26, 2001 ) Prior Group Art Unit: 1633  
Prior Examiner: S. Chen

For: HYBRID PROTEINS THAT MIGRATE RETROGRADELY AND  
TRANSYNAPTICALLY INTO THE CNS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the communication of April 30, 2001, applicants submit the required fee of \$130.00, Application Filing Fee of \$710, Extra Claims Fee of \$216, a copy of the Notice of Missing Parts, a Preliminary Amendment, Submission of Formal Drawings, Six (6) sheets of Formal Drawings (Figs. 1A, 1B, 2, 3, 4, and 5), Request for Approval of Drawing Changes, computer-readable form (CRF) copy of Sequence Listing, paper copy of Sequence Listing, and Statement to Support Sequence Listing Submission in Accordance with 37 C.F.R. §§ 1.821-825.

Please associate the enclosed fees with the above identified application.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

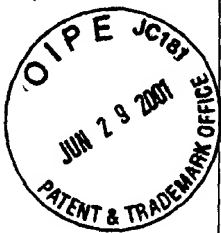
Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 29, 2001

By: \_\_\_\_\_  
Timothy B. Donaldson  
Reg. No. 43,592

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000



PATENT  
Customer Number 22,852  
Attorney Docket No.: 3495.0174-01

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TRANSYNAPTICALLY INTO THE CNS

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Enclosed is a reply to the Notice to File Missing Parts of Non-provisional Application of April 30, 2001. The items checked below are appropriate:

- ☒ A Preliminary Amendment is enclosed.
- ☒ A Submission of Formal Drawings is enclosed.
- ☒ Six (6) sheets of Formal Drawings are enclosed (Figs. 1A, 1B, 2, 3, 4, and 5).
- ☒ A Request for Approval of Drawing Changes is enclosed.
- ☒ Figures 1 and 2 marked in red are enclosed.
- ☒ A computer-readable form (CRF) copy of Sequence Listing is enclosed.
- ☒ A paper copy of Sequence Listing is enclosed.
- ☒ A Statement to Support Sequence Listing Submission in Accordance with 37 C.F.R. §§ 1.821-825 is enclosed.

Laurent COEN et al.  
Serial No. 09/816,467

Attorney Docket No. 3495.174-01

The claims are calculated below:

	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	Additional Fee
Total	32	-	20	12	x \$ 18	\$ 216.00
Indep.	3	-	3	0	x \$ 80	0.00
<input type="checkbox"/> First Presentation of Multiple Dep. Claim(s)					+\$270	0.00
Subtotal						\$ 0.00
Reduction by ½ if small entity						- 0.00
TOTAL						\$ 216.00

- ☒ A fee of \$216.00 to cover the cost of the additional claims added by this reply is enclosed.
- ☒ A fee of \$710.00 to cover the Application Filing Fee is enclosed.
- ☒ A late filing fee of \$130.00 is enclosed.
- ☒ A check for \$1,056.00 to cover the above fees is enclosed.

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Dated: June 29, 2001

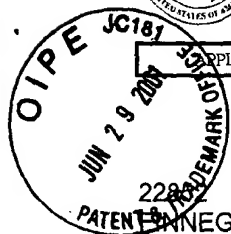
By: \_\_\_\_\_  
Timothy B. Donaldson  
Reg. No. 43,592





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/816,467	03/26/2001	Laurent Coen	3495.0174-01

CONFIRMATION NO. 7062

## FORMALITIES LETTER



\*OC000000006024222\*

228  
PATENT & TRADEMARK OFFICE  
DUNN, HENDERSON, FARABOW, GARRETT &  
DUNN LLP  
1300 I STREET, NW  
WASHINGTON, DC 20005

Date Mailed: 04/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$764.
  - \$414 for 23 total claims over 20.
  - \$80 for 1 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1604.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's

07/03/2001 HABD11 00000065 09816467  
01 FC:101 710.00 DP  
02 FC:103 216.00 DP  
03 FC:105 130.00 DP

Attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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re appln. of )

Laurent COEN et al. )

Serial No.: 09/816,467 )

Prior Group Art Unit: 1633

Filed: March 26, 2001 )

Prior Examiner: S. Chen

For: HYBRID PROTEINS THAT MIGRATE RETROGRADELY AND  
TRANSYNAPTICALLY INTO THE CNS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**REQUEST FOR APPROVAL OF DRAWING CHANGES**

Subject to the approval of the Examiner, it is respectfully requested that Figures 1 and 2, in the above-captioned application, be amended to include the appropriate SEQ ID NOs. The changes are indicated in red in the attached copies of originally filed Figures 1 and 2.

Pending approval of the proposed changes, Applicants respectfully request that the formal drawings, submitted concurrently herewith, be accepted.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 29, 2001

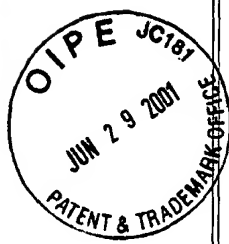
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#4

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Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**SUBMISSION OF FORMAL DRAWINGS**

Subject to the approval of the Examiner, please replace the informal drawings with the six sheets of formal drawings filed herewith (Figures 1A, 1B, 2, 3, 4, and 5). If the formal drawings for any reason are not in full compliance with the pertinent statutes and regulations, please so advise the undersigned.

If any fees are necessary for the submission of these formal drawings, please charge our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: June 29, 2001

By: \_\_\_\_\_  
Timothy B. Donaldson  
Reg. No. 43,592

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